



SOX2 Expression in Patients who Underwent Radical Cystectomy for Urothelial Carcinoma of the Bladder

Charles U. Nottingham¹, Sanjay G. Patel², Peter E. Clark³, David J. DeGraff⁴, Justin R. Gregg³, Blake B. Anderson¹, Gladell P. Paner⁵, Gary D. Steinberg¹ and Donald J. Vander Griend^{1,6*}

¹Department of Surgery, University of Chicago Medicine, USA

²Department of Urology, University of Oklahoma, USA

³Department of Urology, Vanderbilt University, USA

⁴Departments of Pathology, Pennsylvania State University College of Medicine, USA

⁵Department of Pathology, University of Chicago, USA

⁶The Ben May Institute for Cancer Research, University of Chicago, USA

Abstract

Background: SOX2 is a transcription factor essential for maintaining the survival and pluripotency of stem cells. Previous studies have described the role of SOX2 in breast, esophageal, and non-muscle invasive bladder cancer correlating with poor patient outcome.

Methods: We obtained a series of annotated TMAs from patients who underwent radical cystectomy for urothelial carcinoma of the bladder (UCB). Tumor specimens were stained for SOX2 and scored by a single genitourinary pathologist. Univariate and multivariate analyses were performed to determine patient and pathologic characteristics correlating with SOX2 score. A univariate survival analysis was performed using the Kaplan-Meier method and multivariate analysis with the Cox proportional hazards model.

Results: From a total of 271 total patients who underwent radical cystectomy for UCB, 114 (42.1%) had SOX2 scores >0. On univariate analysis SOX2 score >0 positively correlated with the presence of carcinoma in situ (CIS) (p<0.002) and older patient age, but negatively correlated with pathologic stage T2 and higher. On multivariate analysis older age and presence of CIS similarly correlated with SOX2 score >0. There was no difference in overall survival between patients based on SOX2 score.

Conclusion: The presence of SOX2 in bladder cancer specimens correlated with the presence of CIS at cystectomy, and patient age, but did not correlate with survival. Further investigation of early high-grade lesions may elucidate the role of SOX2 in UCB carcinogenesis.

Keywords: SOX2; Bladder cancer; Carcinoma *in situ*; Cystectomy

Abbreviations

CIS: Carcinoma *in situ*; SOX2: SRY Sex Determining Region Y-box 2; TMA: Tissue Micro-Array; UCB: Urothelial Carcinoma of the Bladder; IHC: Immunohistochemistry

Introduction

The functional and clinical role of stem cell transcription factors in cancer remains an important unresolved question. Expression of certain transcription factors maintain a pluripotent state in embryonic stem cells [1,2]. The more commonly described factors include SOX2 [SRY (sex determining region Y)-box 2], POU5F1 (formerly Oct4), and Nanog [1,2]. These transcription factors inhibit expression of other genes that induce cellular differentiation [2], and their expression can also induce a state of pluripotency in previously differentiated cells [3]. SOX2 has a well-described role in the self-renewal of murine and human embryonic stem cells, and also provides a significant contribution to the maintenance of stem cells in adult tissues of the nervous tissue and skin [4]. For this reason investigators have evaluated their role in carcinogenesis, tumor progression, and therapy resistance. Prior studies have demonstrated upregulation of SOX2 in breast, brain, prostate, and germ cell cancers, and especially in poorly-differentiated tumors [5,6]. This data suggests an oncogenic role for SOX2 in certain cancers.

While SOX2 expression has been demonstrated in human bladder cancer, other stem cell

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*Correspondence:

Donald J. Vander Griend, Department of Surgery, University of Chicago, Section of Urology, 5841 S. Maryland Ave, MC6038, Chicago, IL 60637, USA, Tel: 773-702-3080; E-mail: gsteinbe@surgery.bsduchicago.edu

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markers (Nanog and POU5F1) have not. Wezel et al. [7] demonstrated no expression of OCT4A in both benign and malignant urothelium. In a human UBC cell line, Ferreira-Teixeira et al. [8] observed four-fold SOX2 mRNA increased expression over baseline, but noted that expression of Nanog and POU5F1 mRNA was not increased over baseline.

The role and expression pattern of SOX2 in urothelial carcinoma of the bladder (UCB) has not been well defined. Bladder cancer is a heterogeneous disease, with different histologic subtypes that each has different biologic activity [9]. The most common histologic subtype of UCB is urothelial cell carcinoma, for which there is both low and high grade [9]. Amini et al. [10] demonstrated Sox2 expression in a human UCB cell line (HT-1376). They also observed SOX2 expression in 9 of 10 human UCB specimens by RT-PCR, which included equivalent representation from histologic grades I-III, and saw SOX2 expression in all grade III specimens. Another study of over 100 patients with non-muscle invasive UCB taken at transurethral resection suggested that SOX2 expression correlated with poor recurrence free survival, increased tumor size and number, and higher grade [11]. To date, no studies have specifically evaluated the role of SOX2 in patients requiring radical cystectomy. The objective of the present study was to determine the expression pattern of SOX2 in human UCB specimens at the time of radical cystectomy, and correlate its expression with pathologic and oncologic outcomes. Based on previous studies in both bladder and other cancers suggesting worse pathologic and oncologic outcomes, we hypothesized that SOX2 expression would positively correlate with advanced pathologic features at and worse overall survival after cystectomy.

Methods

Ethics statement

Human bladder tumor collection and tissue micro-array (TMA) production was previously described in study from this series of patients undergoing radical cystectomy [12]. Bladder tumor specimens were collected by the Translational Pathology Shared Resource, a core facility of the Vanderbilt-Ingram Cancer Center, and later de-identified for testing and analysis. Clinical, pathologic, and follow-up data were collected via review of medical records and extracted to the Research Electronic Data Capture database hosted at Vanderbilt University. This project was approved by the Institutional Review Board at Vanderbilt University.

Human tissue samples and generation of TMAs

TMAs were generated using bladder tissue cores from 271 patients who underwent radical cystectomy, bilateral pelvic lymphadenectomy, and urinary diversion for urothelial or squamous carcinoma of the bladder between January 2000 and May 2010. Hematoxylin and eosin (H&E) slides from the TMAs were prepared. Patients were subsequently followed up at 3 months, 6 months, and then at increasing intervals based on individual surgeons' practice patterns by physical examination, laboratory studies, and both chest and abdominal imaging. Clinico-pathologic data were collected and included patient demographics, such as age at time of surgery, sex, and comorbidity recorded as age-adjusted Charlson comorbidity index [13], and tumor characteristics, such as AJCC tumor stage [14], and grade. The original H&E slides were reviewed and diagnostic tissue was marked for construction of a TMA using a manual arrayer (Beecher Instruments, Sun Prairie, WI). One to three tissue cores (each 1.5 mm) of representative areas from each of the selected formalin-fixed, paraffin-embedded (FFPE) UBC tissue blocks were

sampled to generate each TMA. The histopathological diagnosis of tissue samples represented on the TMA were identified for each patient (wherever available), including adjacent benign urothelium, noninvasive papillary urothelial carcinoma (pTa), urothelial carcinoma in situ (pTis, also referred to as CIS), or invasive urothelial carcinoma (pT1-pT4), and were recorded in a Research Electronic Data Capture relational database.

IHC staining and evaluation

Immunohistochemistry staining (IHC) for SOX2 (D6D9 XP, Cell Signaling Technology; rabbit monoclonal) was performed on FFPE sections managed by the University of Chicago Human Tissue Resource Core facility. After deparaffinization and rehydration, tissues were treated with antigen retrieval buffer (S1699 from DAKO; Glostrup, Denmark) in a steamer for 20 minutes. Anti-SOX2 antibody (1:25 dilution) was applied for 1 hour at room temperature in a humidity chamber. Following TBS wash, the antigen-antibody binding was detected with Envision+system (DAKO, K4001 for mouse primary antibodies) and DAB+Chromogen (DAKO, K3468). Tissue sections were briefly immersed in hematoxylin for counterstaining and were cover-slipped.

Tissues were analyzed by a trained genitourinary pathologist and scored on percentage of cells with positive nuclear staining (0 = no staining; 1 = 1–10% positive cells; 2 = 11–50% positive cells; and 3 = 50–100% positive cells), as well as the intensity of staining (0 = no staining; 1 = weak staining; 2 = moderate staining; 3 = strong staining). The two scores were multiplied to create a composite SOX2 score. The composite score was added to the Research Electronic Data Capture relational database. Patients were then divided into two groups for analysis: 1) patients with a SOX2 score of 0, and 2) patients with a SOX2 score > 0. Patients with a composite score of 0 were considered SOX2 negative, whereas patients with a score > 0 were considered SOX2 positive. For images, slides were digitized using a Panoramic Scan Whole Slide Scanner (Cambridge Research and Instrumentation; Hopkinton, MA) and images captured using the Panoramic Viewer software version 1.14.50 (3DHitech; Budapest, Hungary).

Statistical analysis

Categorical variables between SOX2 negative and positive groups were compared using Pearson's Chi-squared tests. Univariate and multivariate logistic regression was used to compare likelihood of SOX2 positive staining when controlling for patient demographic factors. A Kaplan-Meier analysis was used to describe the mean, 3-year, and 5-year survival for patients in both groups, and to compare them for a difference in survival. Multivariate predictors for survival were evaluated with a Cox proportional hazards model. Stata version 14.0 (Stata Corp., College Station, TX) was used for all statistical analysis.

Results

From a total of 271 total patients who underwent radical cystectomy for UBC, 114 (42.1%) had SOX2 scores > 0. Representative images of SOX2-positive and SOX2-negative tumors are shown in (Figure 1). Patient demographics are described in Table 1 with patients separated by SOX2 composite score groups into those whose tumor specimens stained negative versus positive for SOX2. The study cohort had a similar distribution of patients by baseline clinical characteristics of age, sex, race, and Charlson comorbidity score (all $p > 0.05$). Pathologic characteristics of primary cancer

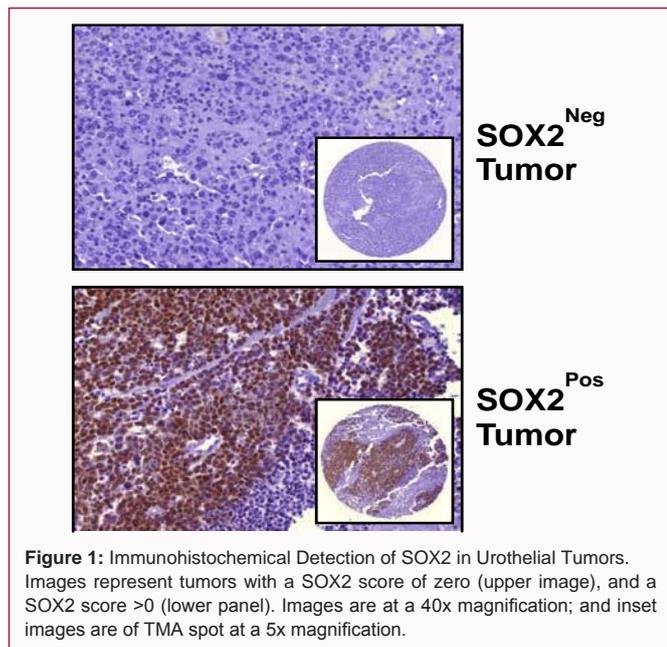


Figure 1: Immunohistochemical Detection of SOX2 in Urothelial Tumors. Images represent tumors with a SOX2 score of zero (upper image), and a SOX2 score >0 (lower panel). Images are at a 40x magnification; and inset images are of TMA spot at a 5x magnification.

cell type, clinical tumor stage, pathologic tumor stage, tumor grade, nodal status, proportion of patients upstaged at cystectomy, and proportion of patients who received any intravesical therapy prior to cystectomy were also similar (all $p > 0.05$). However, a significantly higher proportion of SOX2 positive patients had CIS present at cystectomy (66.4% vs 44.2% $p < 0.001$). We then sought to identify predictors of SOX2 positivity by performing a univariable analysis to evaluate age, sex, race, Charlson score, presence of non-urothelial histology, clinical stage prior to cystectomy, CIS at final pathology, final pathologic tumor stage, final pathologic grade, presence of lymph node metastases, upstaging at cystectomy, and receipt of any intravesical treatment prior to cystectomy. Patients of age groups 60 – 69 years (OR 3.87; 95% CI 1.20 – 12.46; $p=0.023$), 70 – 79 years (OR 3.21; 95% CI 1.00 – 10.27; $p=0.050$), and ≥ 80 years (OR 5.19; 95% CI 1.28 – 21.08; $p=0.021$) had a higher odds of SOX2 score > 0 compared to referent age < 50 years, while patients aged 50 – 59 years did not. Patients with CIS at cystectomy also had a higher odds of having a SOX2 score > 0 (OR 2.50; 95% CI 1.51 – 4.13; $p<0.001$). By contrast, patients with pathologic stage T2 (OR 0.53; 95% CI 0.28 – 0.99; $p=0.046$), T3 (OR 0.49; 95% CI 0.26 – 0.94; $p=0.031$), and T4 (OR 0.41; 95% CI 0.18 – 0.97; $p=0.044$) all had significantly lower odds of a SOX2 score > 0 compared to patients with pathologic stage $\leq T1$. Table 2 shows a multivariate logistic regression model of correlation with SOX2 positivity. When controlling for sex, race, Charlson comorbidity score, pathologic tumor stage, tumor grade, nodal status, and prior receipt of intravesical therapy, we observed that patient age 60 years and older (all $p < 0.05$) as well as the presence of CIS at cystectomy (OR 2.39, 95% CI 1.37 – 4.17, $p = 0.002$) were both still positively correlated with patients having a Sox-2 score > 0. While there was a trend towards SOX2 positivity in higher pathologic stages, this did not reach significance. When separately analyzing the pathologic groups as bladder-confined (pTaN0, pTisN0, pT1N0, and pT2N0) versus locally-advanced (pT3N0 and pT4N0) and node-positive (pT any), there was a similar trend towards SOX2 positivity in the locally-advanced group ($p=0.052$) but not in the node-positive group ($p=0.901$) (data not shown). There was no difference in overall survival between patients who were SOX2 negative and SOX2 positive (Figure 2). Median, 3-year, and 5-year survival for SOX2

Table 1: Patient and pathologic characteristics by SOX2 group.

Patient Characteristic	Number (%) SOX2 score 0 (Negative)	Number (%) SOX2 score >0 (Positive)	P-Value
Total Patients	157	114	
Age (years)			0.09
< 50	17 (10.8)	4 (3.5)	
50 – 59	33 (21.0)	18 (15.8)	
60 – 69	45 (28.7)	41 (36.0)	
70 – 79	53 (33.8)	40 (35.1)	
> 80	9 (5.7)	11 (9.6)	
Sex			0.827
Female	30 (19.1)	23 (20.2)	
Male	127 (80.9)	91 (79.8)	
Race			0.714
White	145 (92.4)	104 (91.2)	
Black	10 (6.4)	7 (6.1)	
Other	2 (1.3)	3 (2.6)	
Age-Unadjusted Charlson Comorbidity Score			0.899
0 – 2			
≥ 3	58 (38.2)	40 (37.4)	
	94 (61.8)	67 (62.6)	
Primary Cell Type			0.238
Pure UC	141 (89.8)	107 (93.9)	
Mixed	16 (10.2)	7 (6.1)	
Clinical Tumor Stage			0.083
Tis	2 (1.3)	6 (5.5)	
Ta	8 (5.3)	5 (4.6)	
T1	28 (18.4)	29 (26.4)	
$\geq T2$	114 (75.0)	70 (63.6)	
CIS at Cystectomy			< 0.001
No	86 (55.8)	38 (33.6)	
Yes	68 (44.2)	75 (66.4)	
Pathologic Tumor Stage			0.07
$\leq T1$	34 (21.7)	41 (36.0)	
T2	52 (33.1)	33 (29.0)	
T3	49 (31.2)	29 (25.4)	
T4	22 (14.0)	11 (9.7)	
Tumor Grade			0.691
Low	3 (1.9)	3 (2.6)	
High	154 (98.1)	111 (97.4)	
Nodal Status			0.755
Negative	121 (77.1)	86 (75.4)	
Positive	36 (22.9)	28 (24.6)	
Upstaged at Cystectomy			0.122
No	65 (41.4)	58 (50.9)	

Yes	92 (58.6)	56 (49.1)	0.508
Received Intravesical Therapy Pre-Operatively			
No			
Yes	149 (94.9)	106 (93.0)	
	8 (5.1)	8 (7.0)	

Table 2: Multivariate predictors of SOX2 score > 0.

Patient Characteristic	Odds Ratio	95% CI	p-value
Age (years)			
< 50	Reference	Reference	Reference
50 – 59	2.94	0.79 – 10.85	0.106
60 – 69	5.52	1.49 – 20.49	0.011
70 – 79	7.78	1.73 – 34.94	0.007
> 80	12.73	2.24 – 72.29	0.004
Sex			
Female	Reference	Reference	Reference
Male	0.86	0.43 – 1.71	0.671
Race			
White	Reference	Reference	Reference
Black	0.83	0.28 – 2.48	0.741
Other	2.17	0.31 – 15.23	0.437
Age Unadjusted Charleson Comorbidity Score			
0 – 2			
≥ 3	0.51	0.22 – 1.17	0.112
CIS at Cystectomy			
No	Reference	Reference	Reference
Yes	2.39	1.37 – 4.17	0.002
Pathologic Tumor Stage			
≤ T1			
T2	Reference	Reference	Reference
T3	0.69	0.34 – 1.42	0.316
T4	0.47	0.21 – 1.05	0.063
	0.39	0.14 – 1.10	0.074
Tumor Grade			
Low	Reference	Reference	Reference
High	0.51	0.07 – 3.75	0.508
Nodal Status			
Negative	Reference	Reference	Reference
Positive	1.65	0.82 – 3.30	0.161

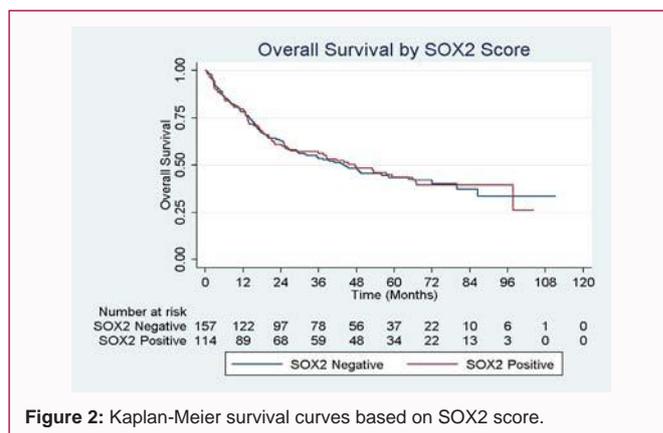


Figure 2: Kaplan-Meier survival curves based on SOX2 score.

Table 3: Univariate 3-year, 5-year, and median overall survival by SOX2 score.

SOX2 Score	3-Year % Survival	5-Year % Survival	Median Survival (months)
	(95% CI)	(95% CI)	
Negative	53.6 (45.5 – 61.1)	43.5 (35.2 – 51.6)	43.8
Positive	56.3 (46.6 – 64.9)	43.7 (34.0 – 53.0)	45.5

negative patients were 43.8 months, 53.6%, and 43.5%, respectively, and for SOX2 positive patients were 45.5 months, 56.3%, and 43.7%, respectively (Table 3). Using a multivariate Cox Proportional Hazards model controlling for sex, race, and Charleson Score, we observed that age ≥ 80 years, higher pathologic tumor stages (pT2 and higher), and lymph node metastasis were correlated with shorter survival (Table 4). The presence of SOX2 and CIS at cystectomy did not correlate with survival.

Discussion

Our understanding of the role of stem cell associated transcription factors in UCB remains in its infancy, particularly in regard to SOX2 biology and its correlation with clinical outcomes. Few studies have evaluated the expression profile of SOX2 in clinical UCB specimens [10,11]. We report the first description of SOX2 expression in UCB in a radical cystectomy cohort, and observed that it correlated with the presence of CIS at the time of cystectomy as well as with older patient age. The pattern of SOX2 expression was somewhat unexpected given its previously-described profile in both laboratory and clinical specimens. While the majority of all UCB patients have low-grade non-invasive disease that will be managed endoscopically and have a low risk if any of progression to high-grade disease [15], our patients mostly have high-grade that will inevitably metastasize if untreated. Patients with high-grade disease that is not invasive to the muscularis propria are typically treated with intravesical BCG immunotherapy [15], but patients with BCG-refractory or muscle-invasive disease are typically managed with radical cystectomy or chemotherapy with radiation. The patients in this study had aggressive high-grade disease that have failed BCG therapy or otherwise had advanced pathology requiring cystectomy. The prior studies demonstrating SOX2 expression in high grade lesions [10] and SOX2 correlation with adverse pathologic and clinical outcomes [11] suggest that it would both be highly expressed and positively correlate with poor outcomes in patients requiring radical cystectomy. However, we observed SOX2 expression in 42.1% of the present study population and found no correlation with advanced pathologic stage, metastatic disease, or overall survival. In the study by Ruan et al. [11], they

Table 4: Cox proportional hazards multivariable model for predictors of death.

Patient Characteristic	Hazard Ratio	95% CI	P-value
Age (years)			
< 50	Reference	Reference	Reference
50 – 59	1.11	0.50 – 2.48	0.792
60 – 69	0.79	0.36 – 1.77	0.571
70 – 79	1.26	0.51 – 3.13	0.614
> 80	2.84	1.02 – 7.88	0.045
Sex			
Female	Reference	Reference	Reference
Male	0.92	0.60 – 1.40	0.692
Race			
White	Reference	Reference	Reference
Black	1.18	0.58 – 2.41	0.646
Other	1.46	0.50 – 4.23	0.486
Age Unadjusted Charleston Comorbidity Score			
0 – 2			
≥ 3	Reference	Reference	Reference
	1.39	0.78 – 2.48	0.264
CIS at Cystectomy			
No	Reference	Reference	Reference
Yes	1.14	0.80 – 1.65	0.467
Pathologic Tumor Stage			
≤ T1			
T2	Reference	Reference	Reference
T3	2.18	1.24 – 3.83	0.007
T4	3.05	1.75 – 5.31	< 0.001
	9.11	4.66 – 17.81	< 0.001
Tumor Grade			
Low	Reference	Reference	Reference
High	0.4	0.11 – 1.46	0.167
Nodal Status			
Negative	Reference	Reference	Reference
Positive	1.79	1.20 – 2.68	0.004
SOX2 Score			
Negative	Reference	Reference	Reference
Positive	1.17	0.815 – 1.69	0.391

observed that 53% of 126 bladder cancer specimens (all pathologic stage T1 taken at time of transurethral resection of bladder tumor)

had high levels of staining for SOX2, which is similar to our observed percentage although somewhat higher. This difference in SOX2 expression between our groups raises the question of whether SOX2 expression changes as the cancer advances in stage. The reason for a strong correlation between CIS at the time of cystectomy and SOX2 positivity is not readily apparent in this data. In the time course of progression from carcinogenesis to muscle invasion, the CIS stage temporally occurs closer to the initiation of carcinogenesis. CIS is a high-grade lesion that has the same genetic abnormalities as high-grade muscle-invasive disease [16], but has simply been observed before this progression has occurred. While previous data has suggested that SOX2 promotes pluripotency and therefore may initiate carcinogenesis, the data in this study suggests SOX2 expression may decrease as the bladder cancer progresses in stage. Our univariate analysis revealed an inverse correlation with SOX2 expression and pathologic tumor stage of T2 or higher, although on multivariate analysis this trend was present but did not reach statistical significance.

In other malignancies such as colorectal cancer, high SOX2 expression correlates with positive nodal metastasis, liver metastasis, and WHO grade [17]. SOX2 is also more highly expressed in laryngeal and esophageal cancer, and similarly correlates with worse clinical outcomes [18,19]. SOX2 has been proposed as a lineage-survival oncogene in squamous cancers of the lung and esophagus, by which it promotes immortalization of these cells from the normal state [20]. In these malignancies, SOX2 expression may remain present and contribute to the progression of the cancer. Our study, alternatively, suggests that SOX2 may be present at the inception of the UCB, but may not remain as it progresses. This may represent an intrinsic difference in the biology of these types of cancer. In non-cancerous nervous tissue responding to injury, glial cells that normally maintain SOX2 (and their pluripotency) reduce SOX2 expression as they differentiate into other more mature cells to remyelinate neurons. Because SOX2 regulates multiple upstream genes [2,4], the different cell populations may upregulate or downregulate SOX2 for variable phenotypic expressions. SOX2 as a transcription factor facilitates the expression of multiple downstream genes [4,5], and the sets of genes may vary depending on the cell type. The types of genes regulated by SOX2 may be variable in malignancies of different organ sites, as well. The potential mechanism for SOX2 upregulation in UCB is unclear. Islam et al demonstrated in a murine xenograft model that TGF-β1 induced sonic hedgehog signaling, which led to upregulation of stem cell markers including SOX2. Data from The Cancer Genome Atlas Research Network [21] identified 32 recurrent mutations from 131 UCB cases, but SOX2 was not one of them. No prior studies including the present have suggested a mutation in SOX2, so further investigation into the potential interaction between any known mutated genes and pluripotent stem cell genes may provide further insight as to the full biologic spectrum of this disease. This study also found a positive association between higher age and SOX2 positivity, which was unexpected. In the aforementioned study of patients with pathologic stage T1 bladder cancer, the authors found no difference in SOX2 expression between patients above versus below 65 years of age [11]. A correlation between SOX2 expression and age in other malignancies has not always been observed [18,19]. However, a study of patients with squamous cell lung cancer actually showed increased SOX2 expression correlating with younger patient age [22], which was opposite to our study, and for which the authors were unclear of the etiology. This one found no correlation between overall survival and SOX2 expression, and did not report a correlation between overall

survival and age. This study does have limitations, one of which being the retrospective nature of data analysis. One such concern is the accuracy of the data sample, although many elements of the data are congruous with our current knowledge of bladder cancer; for example, this sample replicated prior studies showing higher pathologic stage, node-positive metastatic disease, and patients aged ≥ 80 years have lower overall survival. Another limitation is that we do not have certain elements of data such as what specific intravesical therapies patients received and how long they had received them. Vanderbilt is a tertiary referral center so many of these patients received bladder cancer treatment regimens from different providers using different techniques particularly with regard to intravesical therapy regimens, which are not entirely standard [23] prior to referral. This allows for some heterogeneity in the stage of patients who undergo cystectomy. A third limitation is that TMAs represent a sampling of the tumor but may not entirely represent the entire bladder tumor specimen, which could potentially be heterogeneous with SOX2 expression.

Conclusion

SOX2 expression in clinical muscle-invasive UCB did not correlate with overall patient survival, but was highly expressed in tumor specimens with CIS and in older patients. Further investigation into the role of SOX2 and other pluripotency-mediating transcription factors in early stage UCB may provide insight into urothelial carcinogenesis.

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